PTO/SB/08A(10-01)
Approved for use through 10/31/2002. OMB 651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMESCE

Substitute for form 1449A/PTO INFORMATION DISCLOSURE	Complete if Known	required to respond to a collection of information unless it contains a valid OMB control number.
	Application Number	09/538951
STATEMENT BY APPLICANT (Use as many sheets as neget (9))	Filing Date	March 31, 2000
(055)	First Named Inventor	Ellison, Carl <b>BECEIVED</b>
DEC 1 7 2003 H	Group Art Unit	2132
	Examiner Name	Stulberger, Cas P. DEC 1 9 2003
Sheet 1 of 1	Attorney Docket No: 4	2P08097 Technology Center 2100

	US PATENT DOCUMENTS							
Examiner USP Document Publication Date Initial * Number			Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate		

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>			
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**EXAMINER** 

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DATE CONSIDERED



Electronic Version v18 Stylesheet Version v18.0

Title of Invention

Platform and Method for Issuing and Certifying a Hardware-Protected Attestation Key

Application Number:

09/538951

Confirmation Number:

9459

First Named Applicant: Carl Ellison Attorney Docket Number: 42P08097

Art Unit:

2132

Examiner:

Cas Stulberger

Search string:

( 4319323 or 4759064 or 5007082 or 5317705 or

5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374286 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or

6535988 or 6557104 or 6633963 or

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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#### Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information
Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant
37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required the filing of this Electronic Information Disclosure Statement. In the event it is that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

APP ID=09538951

Signature

Examiner Name

Date

4/30/2007

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**Sheet** 

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/538,951	
Filing Date	3/31/2000 B APR 2	රූ
First Named Inventor:	3/31/2000 App 2 9 2003	8
Group Art Unit	3642	<b>3</b>
Examiner Name	Unassigned Unassigned	
Attorney Docket Number	042390.P8097	

Exam. Initial*	Cite No. <sup>1</sup>	Cite U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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CS		WOO	02 17555	Α	Verisign, Inc.	02/28/2002		
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15		WOO	02 086684	Α	Hewlett Packard	10/31/2002		
<b>47</b>		JP02	000076139	Α	Nippon	03/14/2000		
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Examiner Signature Date Considered AUG 2 6 2003

\*EXAMINER: Initial if reference considered, whether of not citation is in conformance with MPEP 609; Draw line through citation PPOUP 3600 conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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INFOR	ΓΔΜ	TON DI	SCL	OSUR	RF	Application Number		09/538,951		
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C.S _		Disclosure	"Information Display Technique for a Terminate Stay Resident Program," IBM Technical Disclosure Bulletin, TDB-ACC-No. NA9112156, 12/1/91, pp. 156-158, Vol. 34, Issue No. 7A							
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<sup>1</sup>Unique citation designation number. Applicant is to place a check mark here if English language Translation is attached.



#### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

Title of Invention

Platform and Method for Issuing and Certifying a Hardware-Protected Attestation Key

**Application Number:** 

09/538951

Confirmation Number:

9459

First Named Applicant:

Carl Ellison

Attorney Docket Number: 42P08097

Technology Center 2100

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AUG 2 5 2003

Art Unit:

Unknown

Examiner:

Unknown Unknown

Search string:

( 4247905 or 4347565 or 5319760 or 5437033 or 5511217 or 5522075 or 5737604 or 5854913 or 6075938 or 6182089 or 6272637 or 6314409

or 6374317 or 6397242 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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4	2	4347565	1982-08-31	Kaneda, Saburo , et al.			
(5)	3	5319760	1994-06-07	Mason, Andrew H., et al.			
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cs	5	5511217	1996-04-23	Nakajima, Atsushi , et al.			
CS	6	5522075	1996-05-28	Robinson, Paul T., et al.			
<u>(2</u> )	7	5737604	1998-04-07	Miller, David A., et al.			
CS	8	5854913	1998-12-29	Goetz, John W., et al.			
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#### Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

#### Signature

Examiner Name	Date
Ca Still	4/30/2001
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## Electronic Information Disclosure Statement

# Platform and Method for Issuing and Certifying a Hardware-Protected Attestation Key

Application:

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Confirmation: 9459

0450

APR 3 0 2003

Applicant(s):

Carl Ellison

Technology Center 2100

Docket

042390.P8097

Number: Group Art

Unit:

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3642

Examiner:

Unassigned

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20010027527 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class Subclass
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(5	P16	6292874	2001-09- 18	1 10 10 10 10 10 10 10 10 10 10 10 10 10	Barnett	
(5	P17	6301646	2001-10- 09	1 100 2 8 100 100 100 100 100 100 100 100 100 1	Hostetter	
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(5	P21	6499123	2002-12- 24		McFarland, et al.	
[5]	P22	6505279	2003-01- 07		Phillips, et al.	

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

llinit	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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(S	U02	20010027527	2001- 10-04		Khidekel, et < al.		

#### Remarks

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#### **Signature**

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' SIA		ENT BY APPL		First Named Inventor:	Carl M. Ellison			
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## Substitute for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets an inecessary) APR 0 9 2002

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Application Number	09/538,951				
Filing Date	March 31, 2000				
First Named Inventor:	Carl M. Ellison				
Group Art Unit	3642				
Examiner Name	Unassigned				
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March 31, 2000 3600 MAIL ROOM First Named Inventor STATEMENT BY APPLICANT Group Art Unit 3642 Unassigned (Use as many sheets as necessary.) Examiner Name Attorney Docket Number 042390.P8097 Sheet 2

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known
Application Number 09/538,951 03600 MAIL ROOM
Filing Date March 31, 2000
First Named Inventor Carl M. Ellison
Group Art Unit 3642
Examiner Name Unassigned
Attorney Docket Number 042390.P8097

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Sheet 2 of 2

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